

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL**

(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No: 5681-54400

Inventor(s):

Harichandra Reddy Sannapa Reddy  
Balaji Koutharapu  
Sridhar Satulooori

Title: Request Failover Mechanism  
for a Load Balancing System

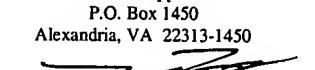
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**CERTIFICATE OF EXPRESS MAIL**  
**UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EV 324268470 US  
 DATE OF DEPOSIT: July 9, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents  
 M/S Patent Application  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

  
 Derrick Brown

Assignee: Sun Microsystems, Inc.  
4150 Network Circle  
Santa Clara, CA 95054  
U.S.A.

8837 U.S. PTO  
10/616444  
07/09/03

## Application Elements

1.  Filing Fee  
The filing fee is calculated as shown below.

Total Claims	51	-20=	31	x \$18.00=	\$528.00
Independent Claims	3	-3 =	0	x \$84.00=	\$0.00
Multiple Dependent Claims				Fee:	\$0.00
				Basic Fee:	\$750.00
				Assignment Fee	\$40.00
				Sub-Total:	\$1,318.00
				Reduction by 50% for Small Entity:	\$0.00
				Total:	\$1,318.00

Fee Authorizations for fees are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzl, P.C., Deposit Account No. 501505/5681-54400/RCK.

**One duplicate copy of this form is enclosed.**

2.  Specification      14 page(s) of specification; 9 page(s) of claims, 1 page(s) of abstract  
3.  Drawings      Formal Figure(s) 1-6 on 6 sheet(s)  
4.  Oath or Declaration  
     Newly executed  
     Copy from a prior application (see 37 C.F.R. § 1.63(d))  
    Deletion of Inventor(s) (in continuation or divisional applications):

Delete the following inventor(s) named in the prior non-provisional application:

The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5.  The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

Computer Readable copy

Paper Copy (identical to computer copy)

Statement verifying identity of above copies

8.  Assignment Papers

9. Power of Attorney

Is attached.

The power of attorney appears in the original papers of the prior application.

Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10.  Information Disclosure Statement (IDS)

Copies of IDS Citations

11. Amendments

A preliminary amendment is enclosed.

Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.

Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.

12.  Return Receipt Postcard

13. Small Entity Status

A small entity statement is enclosed.

A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.

Is no longer claimed.

14.  Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)

15.  Petition under 37 C.F.R. § 136 for Extension of Time

16.  Other:

Address all future correspondence to:



35690

PATENT TRADEMARK OFFICE

Robert C. Kowert  
Meyertons, Hood, Kivlin, Kowert & Goetzl, P.C.  
P.O. Box 398  
Austin, Texas 78767  
Phone: (512) 853-8800 Fax: (512) 853-8801

Signature

Name

Registration No.

Date

Robert C. Kowert

39,255

July 9, 2003

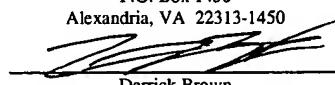
07/09/03  
1324 U.S. PTO

PATENT  
(5681-54400/P8825)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown  
Filed: Herewith  
Inventor(s):  
    Harichandra Reddy Sannapa Reddy  
    Balaji Koutharapu  
    Sridhar Satuloori  
  
Title: Request Failover  
    Mechanism for a Load  
    Balancing System

§      Examiner: Unknown  
§      Group/Art Unit: Unknown  
§      Atty. Dkt. No: 5681-54400

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Commissioner for Patents M/S Patent Application P.O. Box 1450 Alexandria, VA 22313-1450	
 Derrick Brown	

FEE AUTHORIZATION

Commissioner for Patents  
M/S Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons,  
Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5681-  
54400/RCK:

Fee: Filing Fee      Amount: \$750.00  
Fee: Excess Claims      Amount: \$528.00

Attorney Docket No.: 5681-54400  
Attorney Docket No.: 5681-54400

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
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Austin, TX 78767-0398  
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Date: July 9, 2003